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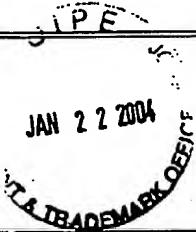
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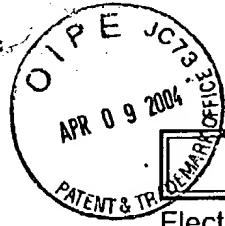
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| USPTO Form 1449 U.S. Department of Commerce Patent and Trademark Office INFORMATION DISCLOSURE CITATION Sheet 1 of 1 | | Attorney Docket No. 003797.84617 | | Serial No. 09/455,806 | | | |
|--|-----------------|-------------------------------------|---------|---------------------------------------|----------|-------------|----|
|  JAN 22 2004 | | Applicant(s): John L. Beezer et al. | | | | | |
| | | Filing Date: December 7, 1999 | | Group: 2171 | | | |
| U.S. PATENT DOCUMENTS | | | | | | | |
| Examiner Initial | Patent No. | Date | Name | Class | Subclass | | |
| RECEIVED JAN 23 2004 Technology Center 2600 | | | | | | | |
| FOREIGN PATENT DOCUMENTS | | | | | | | |
| Examiner Initial | Document No. | Date | Country | Class | Subclass | Translation | |
| | | | | | | YES | NO |
| AT | EP 0 902 379 A2 | 03/1999 | Europe | — | — | | |
| OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.) | | | | | | | |
| | | | | | | | |
| EXAMINER <i>Hamblen</i> | | | | DATE CONSIDERED <i>8/23/04</i> | | | |
| <small>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.</small> | | | | | | | |



ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18
Stylesheet Version v18.0

| | |
|--------------------|---|
| Title of Invention | Ebook/Active Reading: Rendering and Capturing Ink Annotations |
|--------------------|---|

Application Number: 09/455806



Confirmation Number: 5592

First Named Applicant: John Beezer

Attorney Docket Number: 003797.84617

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APR 13 2004

Art Unit: 2171

Examiner: H Thai

Search string: (6697997 or 6585776 or 6457013 or 6370497
or 6266772 or 6163778 or 6038598).pn.

Technology Center 2100

Certification: This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

| init | Cite.No. | Patent No. | Date | Patentee | Kind | Class | Subclass |
|------|----------|------------|------------|---------------|------|-------|----------|
| | 1 | 6697997 | 2004-02-24 | Fujimura | 715 | 501 | 1 |
| | 2 | 6585776 | 2003-07-01 | Bates et al. | 715 | 501 | 1 |
| | 3 | 6457013 | 2002-09-24 | Saxton et al. | 707 | 101 | |
| | 4 | 6370497 | 2002-04-09 | Knowles | 704 | 1 | |
| | 5 | 6266772 | 2001-07-24 | Suzuki | 713 | 182 | |
| | 6 | 6163778 | 2000-12-19 | Fogg et al. | 707 | 10 | |
| | 7 | 6038598 | 2000-03-14 | Danneels | 709 | 219 | |



Remarks

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Signature

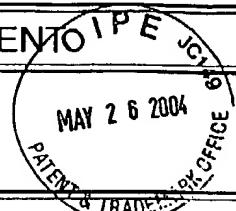
| Examiner Name | Date |
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| Haubilow | 8/26/04 |

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Application Number: 09/455806 

Confirmation Number: 5592

First Named Applicant: John Beezer

Attorney Docket Number: 003797.84617

Art Unit: 2171

Examiner: H Thai

Search string: (5822720).pn.

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US Patent Documents

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| init | Cite.No. | Patent No. | Date | Patentee | Kind | Class | Subclass |
|------|----------|------------|------------|----------------|------|-------|----------|
| | 1 | 5822720 | 1998-10-13 | Bookman et al. | 784 | 3 | |

Remarks

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SUB_TYPE=Information_Disclosure_Statement EFS_ID=61565 APP_ID=09455806 DOC_TYPE=us-ids

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that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. The references contained in this information disclosure statement were cited by the Examiner in a related application. Applicants believe that no fee is necessary pursuant to 37 CFR 1.97(b). However, if a fee is due, the Office is authorized to charge Deposit Account No. 19-0733.

Signature

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| Examiner Name | Date |
| Hanillai | 8/26/04 |

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JAN 23 2004

Examiner: H Thai

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Search string: (5437295 or 6018342 or 6647534).pn.

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| init | Cite.No. | Patent No. | Date | Patentee | Kind | Class | Subclass |
|------|----------|------------|------|-----------------|------|-------|----------|
| 1 | 5437295 | 1994-09-13 | | Agulnick et al. | | | |
| 2 | 6018342 | 2000-01-25 | | Bristor | | 345 | 840 |
| 3 | 6647534 | 2003-11-11 | | Graham | | 715 | 526 |

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Signature

| Examiner Name | Date |
|-----------------|---------|
| <i>Hankaran</i> | 8/26/04 |



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Application Number: 09/455806



Confirmation Number: 5592

First Named Applicant: John Beezer

Attorney Docket Number: 003797.84617

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MAY 18 2004

Art Unit: 2171

Technology Center 2100

Examiner: H Thai

Search string: (6076917 or 6154771 or 6437793).pn.

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| init | Cite.No. | Patent No. | Date | Patentee | Kind | Class | Subclass |
|------|----------|------------|------------|---------------|------|-------|----------|
| | 1 | 6076917 | 2000-06-20 | Wen | 347 | 43 | |
| | 2 | 6154771 | 2000-11-28 | Rangan et al. | 709 | 217 | |
| | 3 | 6437793 | 2002-08-20 | Kaasila | 345 | 611 | |

Remarks

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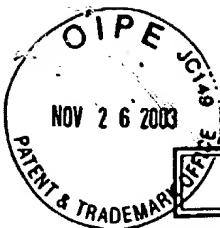
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ELECTRONIC INFORMATION DISCLOSURE STATEMENT

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Stylesheet Version v18.0

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|-------------------------|--|--|--|
| Title of Invention | <u>Ebook/Active Reading: Rendering and Capturing Ink Annotations</u> | | |
| Application Number: | 09/455806 | | |
| Confirmation Number: | 5592 | | |
| First Named Applicant: | John Beezer | | |
| Attorney Docket Number: | 003797.84617 | | |
| Art Unit: | 2171 | | |
| Examiner: | H Thai | | |
| Search string: | (5680636 or 5727129 or 5838914 or 5893132 or 6049812 or 6195694 or 6199082 or 6486895 or 6549220 or RE34476 or 5471568 or 5719595 or 5940080).pn. | | |

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That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

11/28/2003 GWD001 00000070 190733 09455806
US Patent Documents 01 FC:1806 180.00 DA

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

| init | Cite.No. | Patent No. | Date | Patentee | Kind | Class | Subclass |
|------|----------|------------|------------|-----------------|------|-------|----------|
| 1 | 1 | 5680636 | 1997-10-21 | Levine et al. | 715 | 512 | |
| | 2 | 5727129 | 1998-03-10 | Barrett et al. | 706 | 10 | |
| | 3 | 5838914 | 1998-11-17 | Carleton et al. | 709 | 204 | |
| | 4 | 5893132 | 1999-04-06 | Huffman et al. | 715 | 532 | |
| | 5 | 6049812 | 2000-04-11 | Bertram et al. | 715 | 516 | |
| 1 | 6 | 6195694 | 2001-02-27 | Chen et al. | 709 | 220 | |
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|----|---------|------------|------------------|-----|-----|
| 7 | 6199082 | 2001-03-06 | Ferrel et al. | 715 | 522 |
| 8 | 6486895 | 2002-11-26 | Robertson et al. | 345 | 776 |
| 9 | 6549220 | 2003-04-15 | Hsu et al. | 345 | 854 |
| 10 | RE34476 | 1993-12-14 | Norwood | 382 | 186 |
| 11 | 5471568 | 1995-11-28 | Webb et al. | 382 | 199 |
| 12 | 5719595 | 1998-02-17 | Hoddie et al. | 345 | 611 |
| 13 | 5940080 | 1999-08-17 | Ruehle et al. | 345 | 611 |

Remarks

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In accordance with Applicants' duty of disclosure, the following information is submitted for consideration by the United States Patent and Trademark Office in connection with the above-captioned application. The information is identified on the attached PTO 1449 form. Applicants do not waive any right to take appropriate action to establish patentability over the listed document should it be applied as a reference against the claims of the present application. It is respectfully requested that the Examiner fully consider the document, initial the enclosed Form PTO-1449 in the appropriate place to indicate that the document has been considered, and return a copy of the initialed form to the undersigned in accordance with MPEP Section 609. The undersigned certifies under 37 CFR 1.97(e)(2) that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in 1.56(c) more than three months prior to the filing of this information disclosure statement. The references contained in this information disclosure statement were cited by the Examiner in a related application. Accordingly, a single fee of \$180 is believed to be due pursuant to 37 CFR 1.97(d) and 1.17(p) for consideration of this reference. The Office is authorized to charge Deposit Account No. 19-0733 for this fee. If there are any discrepancies regarding this fee, please charge or credit Deposit Account No. 19-0733.

Signature

| | |
|---------------|---------|
| Examiner Name | Date |
| Hank Thor | 8/26/04 |



PTO/SB/08a (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

Approved for use through 04/06/2006. GPO 2007-003.

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for Form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 3

| | | | | | | | | | | | | | | | |
|--|-----------------------|---|--|--------------------|------------|-------------|------------------|----------------------|-----------------------|----------|-----------|---------------|---------|-------------------------------------|--|
| <p>Substitute for Form 1449A/PTO NT & TRADEMARKS</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p><i>(use as many sheets as necessary)</i></p> <p>Sheet 1 of 3</p> | | <p>Complete if Known</p> <table border="1"> <tr> <td>Application Number</td> <td>09/455,806</td> </tr> <tr> <td>Filing Date</td> <td>December 7, 1999</td> </tr> <tr> <td>First Named Inventor</td> <td>John L. BEEZER et al.</td> </tr> <tr> <td>Art Unit</td> <td>2776 2171</td> </tr> <tr> <td>Examiner Name</td> <td>H. Thai</td> </tr> <tr> <td colspan="2">Attorney Docket Number 003797.84617</td> </tr> </table> | | Application Number | 09/455,806 | Filing Date | December 7, 1999 | First Named Inventor | John L. BEEZER et al. | Art Unit | 2776 2171 | Examiner Name | H. Thai | Attorney Docket Number 003797.84617 | |
| Application Number | 09/455,806 | | | | | | | | | | | | | | |
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| First Named Inventor | John L. BEEZER et al. | | | | | | | | | | | | | | |
| Art Unit | 2776 2171 | | | | | | | | | | | | | | |
| Examiner Name | H. Thai | | | | | | | | | | | | | | |
| Attorney Docket Number 003797.84617 | | | | | | | | | | | | | | | |

U.S. PATENT DOCUMENTS

| Examiner Initials * | Cite No. ¹ | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|---------------------|-----------------------|--|--------------------------------|--|---|
| | | Number - Kind Code ² (if known) | | | |
| ff | | US- 5,473,742 | 12-05-1995 | Polyakov et al. | |
| | | US- 5,625,833 | 04-29-1997 | Levine et al. | |
| | | US- 5,729,687 | 03-17-1998 | Rothrock et al. | |
| | | US- 5,832,263 | 11-03-1998 | Hansen et al. | |
| | | US- 6,018,742 | 01-25-2000 | Herbert, III | |
| | | US- 6,054,990 | 04-25-2000 | Tran | |
| | | US- 6,088,481 | 07-11-2000 | Okamoto et al. | |
| | | US- 6,118,437 | 09-12-2000 | Fleck et al. | |
| | | US- 6,128,007 | 10-03-2000 | Seybold | |
| | | US- 6,239,792 | 05-29-2001 | Yanagisawa et al. | |
| | | US- 6,262,719 | 07-17-2001 | Bi et al. | |
| | | US- 6,279,014 | 08-21-2001 | Schilit et al. | |
| | | US- 6,289,362 | 09-11-2001 | Van Der Meer | |
| | | US- 6,335,727 | 01-01-2002 | Morishita et al. | |
| | | US- 6,340,967 | 01-22-2002 | Maxted | |
| | | US- 6,405,221 | 06-11-2002 | Levine et al. | |
| ff | | US- 6,571,295 | 05-27-2003 | Sidana | |
| | | US- | | | |
| | | US- | | | |
| | | US- | | | |

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FOREIGN PATENT DOCUMENTS

Examiner
Signature

J. Frank Cross

**Date
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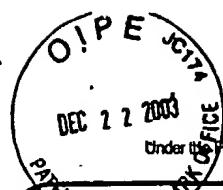
8/24/04

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SUBMITTED UNDER 37 CFR 1.14

Serial Number 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

2 of 3

Complete if Known

| | |
|------------------------|-----------------------|
| Application Number | 09/455,806 |
| Filing Date | December 7, 1999 |
| First Named Inventor | John L. BEEZER et al. |
| Group Art Unit | 2770-2171 |
| Examiner Name | H. Thai |
| Attorney Docket Number | 003797.84617 |

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OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

| Examiner Initials * | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
|---------------------|-----------------------|---|----------------|
| H | 1 | "Adobe Acrobat 3.0 Reader Online Guide", Adobe Systems, Inc., pages 1-110 | |
| | | SOFTBOOK® Press, The Leader in Internet-Enabled Document Distribution and Reading Systems; 1999, http://www.softbook.com/consumer/reader.asp | |
| | 2 | Rocket eBook Using the Rocket eBook; 1999, http://www.rocketbook.com/Products/Faq/using.html | |
| | 3 | Explore the Features of the Rocket eBook; wysiwyg://212http://www.rocketbook.com/Products/Tour/index.html | |
| | 4 | CRESPO, CHANG, and BIER, "Responsive interaction for a large Web application: the meteor shower architecture in the WebWriter II Editor", Computer Networks and ISDN Systems, pages 1508-1517 | |
| | 5 | KUNIKAZU, T., Patent Abstracts of Japan, Publication No. 11327789 for Color Display and Electronic Blackboard System, published 11/30/1999 | |
| | 6 | Open eBook Forum, Open eBook™ Publication Structure 1.0, Sep. 1999, http://www.ebxwg.org/oebps/oebps1.0/download/oeb1-oebps.htm | |
| | 7 | KRISTENSEN, A., "Formsheets and the XML Forms Language" (March 1999) | |
| | 8 | Dublin Core Resource Types: Structurality DRAFT: July 24, 1997, February 27, 1998 | |
| | 9 | Dublin Core Metadata Element Set, Version 1.1: Reference Description 1999 | |
| H | 10 | Dublin Core Metadata Initiative: User Guide Working Draft 1998-07-31 1999 | |

| | | | |
|--------------------|---------|-----------------|---------|
| Examiner Signature | H. Thai | Date Considered | 8/25/04 |
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

3

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| Application Number | 09/455,806 |
| Filing Date | December 7, 1999 |
| First Named Inventor | John L. BEEZER et al. |
| Group Art Unit | 2776 2171 |
| Examiner Name | H. Thai |

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| Examiner Initials * | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
|---------------------|-----------------------|---|----------------|
| HTT | / | Computer Networks and ISDN Systems "Responsive interaction for a large Web application: the meteror shower architecture in the WebWriter II Editor"; 1997, pages 1508-1517 | |
| | / | HIROTSU et al., "Cmew/U - A Multimedia Web Annotation Sharing System", NTT Network Innovation Laboratories - Japan, IEEE, 1999, pages 356-359 | |
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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

| Title of Invention | <p>Ebook/Active Reading: Rendering and Capturing Ink Annotations Method & Apparatus for Capturing and Rendering Annotations for non-modifiable electronic content.</p> | | | | | | | | | | | | | | | | | | | | | | |
|---|---|------------|------------|----------|------|-------|----------|------|----------|------------|------|----------|------|-------|----------|----|---|---------|------------|---------|--|-----|-----|
| Application Number: | 09/455806 | | | | | | | | | | | | | | | | | | | | | | |
| Confirmation Number: | 5592 | | | | | | | | | | | | | | | | | | | | | | |
| First Named Applicant: | John Beezer | | | | | | | | | | | | | | | | | | | | | | |
| Attorney Docket Number: | 003797.84617 | | | | | | | | | | | | | | | | | | | | | | |
| Art Unit: | 2171 | | | | | | | | | | | | | | | | | | | | | | |
| Examiner: | H Thai | | | | | | | | | | | | | | | | | | | | | | |
| Search string: | (6144991).pn. | | | | | | | | | | | | | | | | | | | | | | |
| <p><u>Certification:</u> This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:</p> <p>That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.</p> | | | | | | | | | | | | | | | | | | | | | | | |
| <p>US Patent Documents</p> <p>Note: Applicant is not required to submit a paper copy of cited US Patent Documents</p> <table border="1"><thead><tr><th>init</th><th>Cite.No.</th><th>Patent No.</th><th>Date</th><th>Patentee</th><th>Kind</th><th>Class</th><th>Subclass</th></tr></thead><tbody><tr><td>HT</td><td>1</td><td>6144991</td><td>2000-11-07</td><td>England</td><td></td><td>703</td><td>205</td></tr></tbody></table> | | | | | | | | init | Cite.No. | Patent No. | Date | Patentee | Kind | Class | Subclass | HT | 1 | 6144991 | 2000-11-07 | England | | 703 | 205 |
| init | Cite.No. | Patent No. | Date | Patentee | Kind | Class | Subclass | | | | | | | | | | | | | | | | |
| HT | 1 | 6144991 | 2000-11-07 | England | | 703 | 205 | | | | | | | | | | | | | | | | |
| <p>Remarks</p> <p>Note: Remarks are not for responding to an office action.</p> <p>In accordance with Applicants' duty of disclosure, the following information is submitted for consideration by the United States Patent and Trademark Office in connection with the above-captioned application. The information is identified on the attached PTO 1449 form. Applicants do not waive any right to take appropriate action to establish</p> | | | | | | | | | | | | | | | | | | | | | | | |

patentability over the listed documents should they be applied as references against the claims of the present application. It is respectfully requested that the Examiner fully consider each of the documents, initial the enclosed Form PTO-1449 in the appropriate place to indicate that the document has been considered, and return a copy of the initialed form to the undersigned in accordance with MPEP Section 609. The undersigned certifies under 37 CFR 1.97(e)(2) that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. The references contained in this information disclosure statement were cited by the Examiner in a related application. Applicants believe that no fee is necessary pursuant to 37 CFR 1.97(b). However, if a fee is due, the Office is authorized to charge Deposit Account No. 19-0733.

Signature

| | |
|-----------------|---------|
| Examiner Name | Date |
| <i>Hankhrai</i> | 8/26/04 |